# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Attorney Docket Number: 286969.122) pplication of: Higgins, et al. Serial No.: 10/705,654

Examiner: Not Yet Assigned

Filed: November 10, 2003

Group Art Unit: 3713

For: SYSTEM AND METHOD OF FACILITATING AND EVALUATING USER THINKING ABOUT AN ARBITRARY PROBLEM USING AN ARCHETYPE PROCESS

# CERTIFICATE OF FIRST CLASS MAILING UNDER 37 CFR §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### TRANSMITTAL LETTER

#### Dear Sir:

Enclosed herewith for filing in the above-identified patent application are the following documents:

- 1. Information Disclosure Statement (2 pages);
- 2. Form PTO-1449 (2 page);
- 3. References as Cited (52);
- 4. Certificate of First Class Mailing, and;
- 5. Return Postcard.

No fees are believed to be due in connection with this submission. However, please charge any fees that might be due or credit any overpayment to our Deposit Account No. 08-0219.

Respectfully submitted,

Date: 3-2

HALE AND DORR LLP 60 State Street Boston, MA 02109 (617) 526-6000 (617) 526-5000 (Facsimile) Peter M. Dichiara, Reg. No. 38,005 Attorney/Agent for Applicants



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Attorney Docket Number: 286969.122)

| In re Application of:   | Higgins, et al.  | )                                      |   |
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| Serial No.:   | 10/705,654   | )                                      | Examiner: Not Yet Assigned  |
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| Filed:  | November 10, 2003  | Ć                                      | Group Art Unit: 3713  |
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|   |  |  | G AND EVALUATING USER   |
| THINKING ABOUT  | AN ARBITRARY PROBL   | LEM USING A                            | N ARCHETYPE PROCESS   |
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| Date: 3-2-04  | _  |  | LOSARI  |
|   |  |  | Leslie Rukstalis  |
| *******   | ********   | ******                                 | *************   |
| Commissioner for Pate   | ents   |  |   |
| P.O. Box 1450   |  |  |   |
| Alexandria, VA 22313  | 3-1450   |  |   |
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## **INFORMATION DISCLOSURE STATEMENT**

# Commissioner:

Applicants submit herewith the references listed on the attached form PTO-1449, copies of which are enclosed. This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97 (b) (3), before the mailing date of a first Office Action on the merits, and therefore no fee is believed to be due.

This submission does not represent that a search has been made and does not constitute an admission that the listed documents are material to the patentability of the invention, or that the listed documents are prior art. Applicants reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application. If it should be determined that any of the listed documents constitute prior art under United States law, Applicants reserve the right to present to the Office relevant facts and law regarding the significance of such documents to the patentability of the claimed invention.

It is respectfully requested that the Examiner initial and return copies of the enclosed form PTO-1449 with the next Patent Office communication. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits and is therefore submitted as both timely and proper; therefore, no fees are believed to be due.

The Commissioner, however, is hereby authorized to charge any fees necessary to maintain the pendency of this application to Deposit Account No. <u>08-0219</u>.

If there are any questions, please call the undersigned at the telephone number indicated below.

Respectfully submitted,

Date: 3-2-04
HALE AND DORR LLP

60 State Street Boston, MA 02109 (617) 526-6000

(617) 526-5000 (Facsimile)

Peter M. Dichiara, Reg. No. 38,005 Attorney/Agent for Applicants E IC TO 15

Subt. Form PTO-1449

# INFORMATION DISCLOSURE IN AN APPLICATION

(Use several sheets if necessary)

OF

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Docket Number 286969.122

Application Number 10/705,654

Applicant Higgins, et al.

Filing Date

Group Art Unit

November 10, 2003

3713

|                                       |                    | U.                                    | S. Patent Documer     | nts   |              |                            |
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**EXAMINER** 

DATE CONSIDERED

**EXAMINER:** Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant.

Subt. Form PTO-1449 Docket Number Application Number 286969.122 10/705,654 INFORMATION DISCLOSURE Applicant IN AN APPLICATION Higgins, et al. (Use several sheets if necessary) Filing Date Group Art Unit Sheet 2 OF November 10, 2003 3713 6,240,421 May 29, 2001 Stolarz 707 102 6,246,406 Jun. 12, 2001 Nielsen, et al. 345 340 6,253,218 Jun. 26, 2001 Aoki, et al. 707 526 6,269,356 Jul. 31, 2001 Hatton 706 55 6,282,527 Aug. 28, 2001 Gounares, et al. 706 13 102 6,282,546 Aug. 28, 2001 Gleichauf, et al. 707 6,292,792 Sep. 18, 2001 Baffes, et al. 706 45 6,295,513 Sep. 25, 2001 Thackston 703 1 Oct. 2, 2001 6,298,319 Heile, et al. 703 26 6,304,259 Oct. 16, 2001 DeStefano 345 346 6,304,861 Oct. 16, 2001 Ferguson 706 10 6,326,988 Dec. 4, 2001 Gould, et al. 345 850 Jan. 1, 2002 706 6,336,110 Tamura, et al. 46 6,341,291 Jan. 22, 2002 Bentley, et al. 707 203 6,353,817 Mar. 5, 2002 Jacobs, et al. 706 50 2001/0028369 Oct. 11, 2001 Gallo, et al. 345 848 Feb. 14, 2002 707 102 2002/0019826 Tan 2002/0016725 Feb. 7, 2002 Eichstaedt, et al. 705 7 709 317 2002/0023176 Feb. 21, 2002 Kwicinski

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| EXAMINER                 | DOCUMENT | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
| INITIAL                  | NUMBER   | DATE | 0001111 |       |          | YES         | NO |
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Mar. 7, 2002